

PTO/SB/21 (04-04)
Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number

10/672,789

Filing Date

09/26/2003

First Named Inventor

Brown et al.

RECEIVED
CENTRAL FAX CENTER

Art Unit

1712

OCT 24 2005

Examiner Name

Jeffrey Robertson

Attorney Docket Number

RD28056-5

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Response to Restriction Requirement
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Frank A. Smith - Registration No. 39,375
General Electric Co., 1 Plastics Avenue, Pittsfield, MA 01201

Signature

Date

10/24/05

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or printed name

Elizabeth A. Thorson

Signature

Date

10/24/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED
CENTRAL FAX CENTER

OCT 24 2005

RD28056-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Brown et al.
Serial Number: 10/672,789
Filed: 09/26/2003
Examiner: Jeffrey Robertson
Art Unit: 1712
Title: TRIAZINE COMPOUNDS, POLYMERS, COMPRISING
TRIAZINE STRUCTURAL UNITS, AND METHOD

Via Facsimile to: (571) 273-8300
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO RESTRICTION REQUIREMENT

Dear Sir:

This paper is responsive to the Office Action dated September 28, 2005.

In response to the restriction requirement Applicants elect with traverse Group VI, which includes claims 39-45. Applicants note that Group VI is directed to triazine capped polymers in class 525 and Groups VII - XI are drawn to processes of capping nucleophilic groups in a polymer, also in class 525.

Applicants respectfully traverse the first species restriction requirement requiring Applicants to select a particular species of olefinic group of Formula VII for purposes of prosecution. Formula VII is included in only one member of a Markush group describing different triazine moieties, and Applicants would prefer to select a different member of this group. Specifically, Applicants believe it should be sufficient to select an allyl group from the Markush group in claim 39 rather than the olefinic group of Formula VII. Should the Examiner agree, then claims 39-45 read on this species. Should the Examiner require Applicants to select the olefinic group of Formula VII, then Applicants

- 2 -

RD28056-5

choose the species wherein R¹ is methylene and R² is hydrogen for purposes of expediting examination at this time. In this case, only claims 39 and 41-45 of Group VI read on this species.

In response to the second species election requirement, Applicants choose a polycarbonate for purposes of examination. Although the Office Action indicates only claims 38-42 and 46-75 of Groups VI - XI are generic, Applicants believe that claims 39-43 and 45-94 of Groups VI - XI read on polycarbonates.

If claims to the species identified above are ultimately found allowable, Applicants reserve the right to pursue claims generic to non-elected species. Applicants further reserve the right to pursue claims in other groups besides Group VI.

Respectfully submitted:



Frank A. Smith
Attorney for Applicants
Registration No. 39,375
Customer No. 25743
Telephone No. (413) 448-7604

General Electric Company
One Plastics Avenue
Pittsfield, MA 01201

Date: 10/24/05